



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Unassigned

Yung-Ming Chen et al.

Serial No. 10/632,739

Art Unit: 1614

Filed: August 1, 2003

Title: Ethylene Vinyl Alcohol Composition and Coating

BEST AVAILABLE COPY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

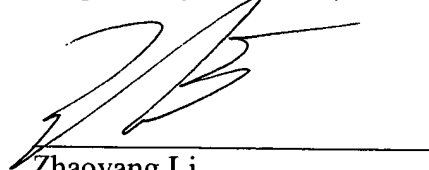
The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R.

§1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: November 21, 2003

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0200
Facsimile (415) 393-9887

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Zhaoyang Li', written over a horizontal line.

Zhaoyang Li
Attorney for Applicants
Reg. No. 46,872

BEST AVAILABLE COPY

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission
(excluding references)

4

Application Number

10/632,739

Filing Date

August 1, 2003

First Named Inventor

Yung-Ming Chen

Group Art Unit

1614

Examiner Name

Unknown

Attorney Docket Number

50623.325

ENCLOSURES (check all that apply)

☒ Deposit Account 07-1850
Authorization

☒ Postage Paid Return Postcard

☐ Amendment / Response

☐ Amendment Transmittal Letter (in
duplicate)

☐ Affidavits/declaration(s)

☐ Petition for Extension of Time (___
months) (in duplicate)

☒ Information Disclosure Statement
(in duplicate) with Form PTO-1449
and 18 References

☒ Certificate of Mailing

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s) In/Formal ___ Sheets with
Submission of Drawings Transmittal

☐ Issue Fee Transmittal with PTO-85b
(in duplicate)

☐ Request for Continued Examination
Transmittal (RCE)

☐ Fee Transmittal Form (in duplicate)

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) ___

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s)
(please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Squire, Sanders & Dempsey L.L.P.
Zhaoyang Li, Reg. No. 46,872

Signature

Date

November 21, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: November 21, 2003

Typed or printed name

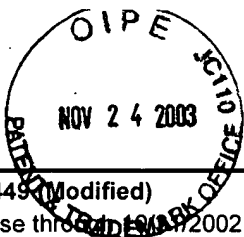
Dilora Haddad

Signature

Date

November 21, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark
Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O.
Box 1450, Alexandria, VA 22313-1450



BEST AVAILABLE COPY

Page 1 of 4

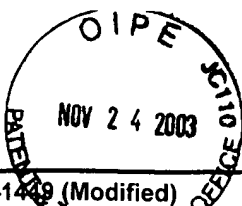
FORM PTO-1449 (Modified) Approved for use through 10/17/2002 INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)	US DEPARTMENT OF COMMERCE US Patent and Trademark Office	Docket No. 50623.325	Application No. 10/632,739
		Applicant Yung-Ming Chen et al.	
		Filing Date August 1, 2003	Group Art Unit 1614

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	2,072,303	03/02/37	Herrmann et al.	128	335.5	
	A2	4,733,665	03/29/88	Palmaz	128	343	
	A3	4,800,882	01/31/89	Gianturco	128	343	
	A4	4,886,062	12/12/89	Wiktor	128	343	
	A5	4,977,901	12/18/90	Ofstead	128	772	
	A6	4,985,285	01/1991	Ichikawa et al.	428	1.31	
	A7	5,112,457	05/12/92	Marchant	204	165	
	A8	5,328,471	07/12/94	Slepian	604	101	
	A9	5,455,040	10/03/95	Marchant	424	426	
	A10	5,464,650	11/07/95	Berg et al.	427	2.30	
	A11	5,578,073	11/26/96	Haimovich et al.	623	1	
	A12	5,605,696	02/25/97	Eury et al.	424	423	
	A13	5,628,730	05/13/97	Shapland et al.	604	21	
	A14	5,667,767	09/16/97	Greff et al.	424	9.411	
	A15	5,670,558	09/23/97	Onishi et al.	523	112	
	A16	5,700,286	12/23/97	Tartaglia et al.	623	1	
	A17	5,716,981	02/10/98	Hunter et al.	514	449	
	A18	5,756,553	05/1998	Iguchi et al.	514	772.3	
	A19	5,770,301	06/1998	Murai et al.	428	213	
	A20	5,800,392	09/01/98	Racchini	604	96	
	A21	5,824,049	10/20/98	Ragheb et al.	623	1	
	A22	5,830,178	11/03/98	Jones et al.	604	49	
	A23	5,837,313	11/17/98	Ding et al.	427	2.21	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.

**BEST AVAILABLE COPY**

Page 2 of 4

FORM PTO-1449 (Modified) Approved for use through 10/31/2002 INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)	US DEPARTMENT OF COMMERCE US Patent and Trademark Office	Docket No. 50623.325	Application No. 10/632,739
	Applicant Yung-Ming Chen et al.		
	Filing Date August 1, 2003	Group Art Unit 1614	

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A24	5,851,508	12/22/98	Greff et al.	424	9.411	
	A25	5,858,746	01/12/99	Hubbell et al.	435	177	
	A26	5,865,814	02/02/99	Tuch	604	265	
	A27	5,873,904	02/23/99	Ragheb et al.	623	1	
	A28	5,971,954	10/26/99	Conway et al.	604	96	
	A29	5,980,972	11/09/99	Ding	427	2.24	
	A30	5,980,928	11/09/99	Terry	424	427	
	A31	6,010,530	01/04/00	Goicoechea	623	1	
	A32	6,015,541	01/18/00	Greff et al.	424	1.25	
	A33	6,042,875	03/28/00	Ding et al.	427	2.24	
	A34	6,051,648	04/18/00	Rhee et al.	525	54.1	
	A35	6,056,993	05/02/00	Leidner et al.	427	2.25	
	A36	6,060,451	05/09/00	DiMaio et al.	514	13	
	A37	6,080,488	06/27/00	Hostettler et al.	428	423.3	
	A38	6,096,070	08/01/00	Ragheb et al.	623	1	
	A39	6,099,562	08/08/00	Ding et al.	623	1.46	
	A40	6,110,188	08/29/00	Narciso, Jr.	606	153	
	A41	6,113,629	09/05/00	Ken	623	1.1	
	A42	6,120,536	09/19/00	Ding et al.	623	1.43	
	A43	6,120,904	09/19/00	Hostettler et al.	428	423.3	
	A44	6,121,027	09/19/00	Clapper et al.	435	180	
	A45	6,129,761	10/10/00	Hubbell	623	11	
	A46	6,153,252	11/28/00	Hossainy et al.	427	2.3	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified) Approved for use through 10/31/2002 INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)	US DEPARTMENT OF COMMERCE US Patent and Trademark Office	Docket No. 50623.325	Application No. 10/632,739
	Applicant Yung-Ming Chen et al.		
	Filing Date August 1, 2003	Group Art Unit 1614	

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date If Appropriate
	A47	6,165,212	12/26/00	Dereume et al.	623	1.13	
	A48	6,258,121	07/10/01	Yang et al.	623	1.46	
	A49	6,287,588	09/2001	Shih et al.	424	426	
	A50	6,335,029	01/2002	Kamath et al.	424	423	
	A51	6,368,658	04/2002	Schwarz et al.	427	2.15	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B1	EP 0 301 856 A2	02/01/89	European				
	B2	WO 91/12846	09/05/91	PCT				
	B3	EP 0 665 023 A1	08/02/95	European				
	B4	WO 96/40174	12/19/96	PCT				
	B5	WO 97/45105	12/04/97	PCT				
	B6	WO 99/01118	01/14/99	PCT				
	B7	WO 99/63981	12/16/99	PCT				
	B8	EP 0 970 711 A2	01/12/00	European				
	B9	WO 00/02599	01/20/00	PCT				
	B10	WO 00/12147	03/09/00	PCT				
	B11	WO 00/64506	11/02/00	PCT				
	B12	WO 01/01890 A1	01/11/01	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	C1	Miyazawa et al.; Effects of Pemirolast and Tranilast on Intimal Thickening After Arterial Injury in the Rat; J Cardiovasc Pharmacol 1997; 157
	C2	Ohsawa et al.; Preventive Effects of an Antiallergic Drug, Pemirolast Potassium, on Restenosis After Percutaneous Transluminal Coronary Angioplasty; Am Heart J 1998; 136: 1081

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) Approved for use through 10/31/2002		US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 50623.325	Application No. 10/632,739
INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)				Applicant Yung-Ming Chen et al.	
				Filing Date August 1, 2003	Group Art Unit 1614
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)					
	C3	Shozo Miyazaki, et al.; Antitumor Effect of Implanted Ethylene-Vinyl Alcohol Copolymer Matrices Containing Anticancer Agents on Ehrlich Ascites Carcinoma and P388 Leukemia in Mice; 1985; Chem. Pharm. Bull. Vol. 33, No. 6, Pages 2490-2498.			
	C4	Peter Barath, M.D. et al.; Low Dose of Antitumor Agents Prevents Smooth Muscle Cell Proliferation After Endothelial Injury; February 1989; JACC Vol. 13, No. 2, Page 252 A.			
	C5	Taku Shigeno; Prevention of Cerebrovascular Spasm by Bosentan, Novel Endothelin Receptor; 1996; Kanto Rosai Hosp., Kawasaki, 211, Japan; 6(4), 416-421.			
	C6	Yuji Matsumaru et al.; Embolic Materials For Endovascular Treatment Of Cerebral Lesions; 1997; J. Biomater. Sci. Polymer Edn. Vol. 8, No. 7, Pages 555-569.			
EXAMINER			DATE CONSIDERED		
EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

BEST AVAILABLE COPY